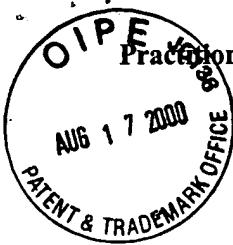


RECEIPT  
#6



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: D. Williams et al.  
Serial No.: 09/506,362 Group No.: 1614  
Filed: February 15, 2000 Examiner: Not Yet Assigned  
For: APOPTOSIS-INDUCING COMPOUNDS

Assistant Commissioner for Patents  
Washington, D.C. 20231

SIR:

**REQUEST FOR CORRECTED FILING RECEIPT**

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
  
2. There is an error with respect to the following data, which is:

[X] incorrectly entered

*and/or*

[ ] omitted.

---

**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8a)**

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING**

X deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

**FACSIMILE**

transmitted by facsimile to the Patent and Trademark Office.

Susan M. Dillon

Signature

Date: 8/15/00

Susan M. Dillon  
(type or print name of person certifying)

| <i>Error in</i>                     | <i>Correct data</i>   |
|-------------------------------------|---|
| 1. [ ] Applicant's name and address | 1.  |
| 2. [ ] Applicant's address          | 2.  |
| 3. [ ] Title                        | 3.  |
| 4. [ ] Filing Date                  | 4.  |
| 5. [ ] Serial Number                | 5.  |
| 6. [X] Foreign/PCT Application      | 6. Foreign Applications<br>Ireland 980344 05/06/1998<br>PCT PCT/IE99/00030 05/06/1999 |
| 7. [ ] Other                        | 7.  |

3. *(complete the following applicable item)*

A. [X] The correction(s) is/are not due to any error by applicant and no fee is due.

**OR**

B. [ ] At least one of the above corrections is due to applicant's error and the fee therefor, under 37 C.F.R. 1.19(h), of \$25.00 is paid as follows:

[ ] Enclosed is check for \$25.00.

[ ] Charge Account \_\_\_\_\_ the sum of \$25.00.

Christine C. O'Day  
SIGNATURE OF PRACTITIONER

Reg. No. 38,256

Christine C. O'Day  
(type or print name of practitioner)

Tel. No. (617) 523-3400

DIKE, BRONSTEIN, ROBERTS & CUSHMAN  
Intellectual Property Practice  
EDWARDS & ANGELL, LLP  
130 Water Street  
P.O. Address

Customer No.

Boston, Massachusetts 02109

P.T.C. LCCU  
49592  
1878

*CORRECTED*

FILING RECEIPT

  
\*OC00000005227340\*



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|----------------|----------|------------|------------|
|--------------------|-------------|--------------|---------------|----------------|----------|------------|------------|

09/506,362 02/16/2000 1614 1168 49592  
(1878)

Peter F Corless Esq  
Dike Bronstein Roberts & Cushman LLP  
130 Water Street  
Boston, MA 02109

RECEIVED

JUL 1 2 2000

DIKE BRONSTEIN  
ROBERTS CUSHMAN

Date Mailed: 07/10/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

David Clive Williams, Dublin, IRELAND;  
Daniela M. Zisterer, Dublin, IRELAND;  
Vito Nacci, Siena, ITALY;  
Giuseppe Campiani, Siena, ITALY;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF PCT/IE99/00030 05/06/1999

Foreign Applications

PCT PCT/IE99/00030 05/06/1999

If Required, Foreign Filing License Granted 04/27/2000

Title

Apoptosis-inducing compounds

Preliminary Class

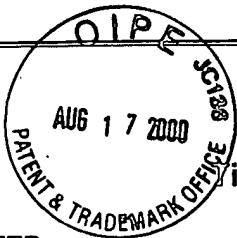
514

7/7/00 3:28 PM  
PCT/IE99/00030  
RECEIVED  
USPTO MAIL ROOM  
7/7/00 3:28 PM

Data entry by : HARDY, EUGENIA

T am : OIPE

Date: 07/10/2000



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231